

IN THE UNITED STATES PATENT AND TRADE MARK OFFICE

Applicant: Leonard Forbes et al.

Title: CIRCUITS WITH A TRENCH CAPACITOR HAVING MICRO-ROUGHENED SEMICONDUCTOR SURFACES

Docket No.: 303.389US2

Serial No.: 09/467992

Filed: December 20, 1999

Due Date: N/A

Examiner: Eugene Lee

Group Art Unit: 2815

Customer No.: 21186

Confirmation No.: 3099

COPY



MS Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- A return postcard.
- A Preliminary Amendment (11 Pages).
- 5 sheets of Formal Drawings.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

By: 
Atty: Viet V. Tong
Reg. No. 45,416

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Non-Fee Amendment, Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 9 day of October, 2003.

Name

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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

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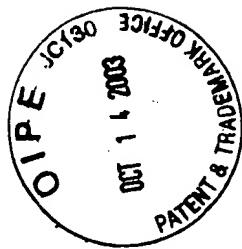


Receipt is hereby acknowledged for the following in the United States Patent and
Trademark Office:

In re Patent Application of: Leonard Forbes et al.
Title: CIRCUITS WITH A TRENCH CAPACITOR HAVING MICRO-
ROUGHENED SEMICONDUCTOR SURFACES
Serial No.: 09/467992
Filing Date: December 20, 1999

CONTENTS: A Preliminary Amendment (11 Pages); 5 sheets of Formal Drawings; a
Return Postcard and TRANSMITTAL SHEET.

Mailed: October 9, 2003
VV/T/mk
Docket No.: 303.389US2
Due Date: N/A



S/N 09/467992

COPY PATENT

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PRELIMINARY AMENDMENT


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P.O.Box 1450
Alexandria, VA 22313-1450

In addition to the amendment and response to the Final Office Action that Applicant filed on July 17, 2003, please amend the application as follows:

IN THE DRAWINGS

In FIG. 1, there were two arrows below reference number 102A. Applicant has removed one of the arrows for clarity. Applicant requests an approval from the Examiner for the change in FIG. 1.